

### java.lang.String Catechism Stay Awhile And Listen

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### Intro



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A **catechism** (pronunciation: /ˈkætəˌkizəm/; from Greek: κατηχέω, to teach orally), is a summary or exposition of doctrine and served as a learning introduction to the Sacraments traditionally used in catechesis, or Christian religious teaching of children and adult converts.

**Catechism** - Wikipedia, the free encyclopedia en.wikipedia.org/wiki/Catechism



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"Science replaces private prejudice with public, verifiable evidence."

- Richard Dawkins



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## Intro: Disclaimers

All tests are done:

- ...by trained professionals: recheck<sup>1</sup> the results before using them
- ...on 1x2x4 i7-4790K (4.0 GHz, HSW): that machine is fast
- ...running Linux x86\_64, 3.13: latest stable Linux Kernel
- ...with a 8u40 EA x86\_64: the latest and greatest JDK
- ...driven by JMH<sup>2</sup>: the latest and greatest benchmarking harness

<sup>1</sup>https://github.com/shipilev/article-string-catechism/ <sup>2</sup>http://openjdk.java.net/projects/code-tools/jmh/ Slide 6/85. Copyright © 2014, Oracle and/or its affiliates. All rights reserved.

## Intro: Strings are abundant

- Humans communicate with text
- Machines follow suit and communicate with text as well: most source code is text, many data interchange formats are text

- Anecdotal data from JEP 192: 25% of heap occupied by String objects
- Anecdotal data: String optimizations usually bring the immediate payoff

Understanding and avoiding cardinal sins is the road to awe.



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### Internals



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Internals: java.lang.String inside

```
public final class String implements ... {
  private final char[] value;
  private int hash;
  ...
```

Strings are immutable:

- Can use/pass them without synchronization, and nothing breaks
- Can share the underlying char [] array, covertly from user



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## Internals: java.lang.String internals

Quite a bit of space overhead:

java.lang.String object internals: OFFSET SIZE TYPE DESCRIPTION 0 12 (object header) 12 4 char[] String.value 16 4 int String.hash 20 4 (alignment loss) Instance size: 24 bytes

- 8..16 bytes: String header
- 4..4 bytes: String hashcode
- 12..16 bytes: char[] header
- 0..8 bytes: alignment losses

12..24 bytes against char[], 24..44 bytes against wchar\_t\*



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### Internals: Catechism

Q: Should I use Strings to begin with?A: Absolutely, when you are dealing with text data.

**Q**: What if memory footprint is a concern? **A**: There are remedies for that, read on.

Q: I can wind up my own String implementation over char[]! A: Sure you can, read on for caveats.

Q: Should | wind up my own String implementation? A: (Silence was the answer, and Engineer left enlightened)

## Immutable



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### Immutable: Strings are special

#### 15.18.1 String Concatenation Operator +

If only one operand expression is of type String, then string conversion (§5.1.11) is performed on the other operand to produce a string at run-time.

The result of string concatenation is a reference to a string object that is the concatenation of the two operand strings. The characters of the left-hand operand precede the characters of the right-hand operand in the newly created string.

The string object is newly created (§12.5) unless the expression is a compile-time constant expression (§15.28).



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```
@Benchmark
public String string() {
   String s = "Foo";
   for (int c = 0; c < 1000; c++) {
      s += "Bar";
   }
   return s;
}</pre>
```



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```
@Benchmark
public String string() {
   String s = "Foo";
   for (int c = 0; c < 1000; c++) {
      s += "Bar"; // newly created String here
   }
   return s;
}</pre>
```

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```
@Benchmark
public String stringBuilder() {
   StringBuilder sb = new StringBuilder();
   for (int c = 0; c < 1000; c++) {
      sb.append("Bar");
   }
   return sb.toString();
}</pre>
```



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How bad could it be, anyway?

Benchmark	Through	put, ops/s
string		$\pm$ 18
stringBuffer		$\pm$ 1005
stringBuilder	116173	$\pm$ 423

Lots of pain: here, 30x performance penalty for adding a thousand of Strings. Compilers are only able to help so much (more later). My JVM hovercraft is full of GC eels.



### Immutable: Catechism

Q: Why this is so painful?A: Immutability almost always comes at a cost.

Q: But I like immutability, how to ease the pain?A: Use Builders to construct immutable objects.

**Q:** Why can't JDK/JVM optimize this for us? **A:** It can, in many cases. But, there is no escape if you want the best possible performance for all possible cases. (No Free Lunch)

Q: Do I need the best possible performance?A: (Silence was the answer, and Engineer left enlightened)

### Concat



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# **Concat: Decompiling**

```
@Benchmark
                public String string_2() {
                  return s1 + s2;
                }
                     ...compiles into:
public String string_2();
  Code:
                    #14 // java.lang.StringBuilder
   0: new
    3: dup
    4: invokespecial #15 // StringBuilder.new()
   7: aload 0
    8: getfield #3 // s1:String;
   11: invokevirtual #16 // StringBuilder.append(String);
   14: aload 0
  15: getfield #5 // s2:String;
   18: invokevirtual #16 // StringBuilder.append(String);
   21: invokevirtual #17 // StringBuilder.toString();
   24: areturn
```

🔮 Java<sup>r</sup>

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# **SB**: Decompiling

#### Not suprisingly, StringBuilder.append chains are routinely optimized:

```
@Benchmark
public String sb_6() {
   return new StringBuilder()
      .append(s1).append(s2).append(s3)
      .append(s4).append(s5).append(s6)
      .toString();
}
@Benchmark
public String string_6() {
   return s1 + s2 + s3 + s4 + s5 + s6;
}
```

#### Try this with -XX: $\pm$ OptimizeStringConcat to quantify...



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# SB: StringBuilder opts are good!

Benchmark	Ν	Score, ns/op			${\tt Impr}$	
		-Opt		+Opt		
stringBuilder	1	14.0	$\pm$ 0.1	8.7	$\pm$ 0.1	+61%
stringBuilder	2	20.3	$\pm$ 0.2	12.1	$\pm$ 0.4	+68%
stringBuilder	3	27.0	$\pm$ 0.2	14.8	$\pm$ 0.1	+82%
stringBuilder	4	33.3	$\pm$ 0.5	21.1	$\pm$ 0.1	+58%
stringBuilder	5	38.6	$\pm$ 0.2	25.4	$\pm$ 0.1	+50%
stringBuilder	6	69.6	$\pm$ 1.0	29.9	$\pm$ 0.2	+133%
string	1	2.3	$\pm$ 0.1	2.3	$\pm$ 0.1	0%
string	2	20.4	$\pm$ 0.2	11.8	$\pm$ 0.1	+73%
string	3	27.1	$\pm$ 0.3	14.9	$\pm$ 0.1	+82%
string	4	33.0	$\pm$ 0.4	21.1	$\pm$ 0.1	+56%
string	5	38.0	$\pm$ 0.3	25.3	$\pm$ 0.1	+50%
string	6	70.1	$\pm$ 0.7	29.9	$\pm$ 0.3	+135%

lava

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# SB: Implicit SB vs. Explicit Conversion

Because of that, people are surprised how this benchmark behaves:

```
private int x;
@Setup
void setup() { x = 1709; }
@Benchmark
String concat_Pre() { return "" + x: }
@Benchmark
String concat_Post() { return x + ""; }
@Benchmark
String integerToString() { return Integer.toString(x); }
@Benchmark
String stringValueOf() { return String.valueOf(x); }
```

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# SB: Implicit SB vs. Explicit Conversion (cont.)

Benchmark	Score, ns/op			
concat_Post	$14.9 \pm 0.1$			
concat_Pre	$15.0 \pm 0.1$			
integerToString	$21.8 \pm 0.1$			
stringValueOf	$21.9 \pm 0.3$			

Implicit concatenation is faster than explicit conversions?

- StringBuilder optimizations kick in, and append(int) is actually faster!
- And will be slower with -XX:-OptimizeStringConcat

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### SB: Side Effects

Let's make it a little bit more complicated...

```
private int x:
@Setup
void setup() { x = 1709; }
@Benchmark
                          \{ return "" + x; \}
String concat_just()
@Benchmark
String concat_side()
                             \{x - -; return "" + (x + +); \}
@Benchmark
String integerToString_just() { return Integer.toString(x); }
@Benchmark
String integerToString_side() { x--; return Integer.toString(x++); }
```

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# SB: Side Effects (cont.)

Benchmark	Score, ns/op			
concat_just	14.8 $\pm$ 0.1			
$integerToString_just$	$21.6 \pm 0.1$			
$stringValueOf_just$	$21.6  \pm $			
concat_side	$27.2 \pm 0.3$			
integerToString_side	$21.6  \pm $			
<pre>stringValueOf_side</pre>	$21.6 \pm 0.2$			

• Once we have a side-effect in append() call, optimization bails out<sup>3</sup>

- On deopt, need to «unwind» the execution, but unable to do so for stores
- Moving the memory stores out of append() args helps

<sup>3</sup>https://bugs.openjdk.java.net/browse/JDK-8043677 Slide 25/85. Copyright © 2014. Oracle and/or its affiliates. All rights reserved.



# Lazy Logging: Trouble

```
private int x;
private boolean enabled:
void log(String msg) {
  if (enabled) {
    System.out.println(msg);
  }
}
@Benchmark
void heap_string() {
  log("Wow, __x __is_usuch_u" + x + "!");
}
@Benchmark
void heap_string_guarded() {
  if (enabled) {
    log("Wow, _ux_u is_u such_u" + x + "!");
  }
3
```

- Concatenation happens before the enabled check
- Wasting precious time constructing the strings we don't care about
- Therefore, most people opt to guard the logger calls before even touching the strings



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# Lazy Logging: Trouble

```
private int x;
private boolean enabled;
@Benchmark
void heap_lambda() {
  log(() -> "Wow, _such_" + x + "!"):
@Benchmark
void noArg_lambda() {
  log(() -> "Such_message, wow.");
}
@Benchmark
public void local_lambda() {
  int lx = x;
  log(() -> "Wow, usuchu" + lx + "!");
}
```

- We can do much better with lambdas: deferred execution without a syntactic mess
- There is a bit of the underlying difference when referencing locals, fields, or nothing



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# Lazy Logging: Lazy Logging

Method	Time, ns/op					
	heap		local		noArgs	
string	19.3	$\pm$ 0.4	17.7	$\pm$ 0.2	0.4	$\pm$ 0.1
lambda	1.8	$\pm$ 0.1	1.8	$\pm$ 0.1	0.4	$\pm$ 0.1
string_guarded	0.4	$\pm$ 0.1	0.4	$\pm$ 0.1	0.4	$\pm$ 0.1

Lambdas rock! The explicit guard still wins, but not by a large margin: capturing lambdas (yet) need instantiation.



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### **Concat: Catechism**

Q: Should I be worried about concatenation costs?A: You should in all non-trivial cases. You can't help much in trivial cases.

Q: What concatenation cases are non-trivial?A: Any pattern involving control flow, side effects, unpredictable values.

**Q**: Are StringBuilder-s flawless?

A: They are aggressively optimized, but sometimes even those optos fail.

**Q:** I am PL professional, give me lazy-val, call-by-name, and shut up. **A:** (*points to JDK 8 release, and PL professional leaves enlightened*)

## Hash Codes



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## Zeroes: P(31) hashcode

Spec says String.hashCode is a P(31) polynomial hashcode:

```
h(s) = \sum_{k=0}^{n-1} 31^{n-k-1} s_k public int hashCode() {

int h = 0;

for (char v : value) {

h = 31 * h + v;

}

hash = h;

}
```

Time complexity is  $\Omega(N)$  and O(N).

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# Zeroes: Trying...

```
String str1, str2;

@Setup

public void setup() {

   str1 = "лжеотождествление_электровиолончели"; // same length

   str2 = "электровиолончели_лжеотождествление"; // same length

}
```

```
@Benchmark
int test1() { return str1.hashCode(); }
@Benchmark
int test2() { return str2.hashCode(); }
```



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# Zeroes: Trying...

```
String str1, str2;
@Setup
public void setup() {
  str1 = "лжеотождествление"; // same length
 str2 = "электровиолончели, лжеотождествление"; // same length
}
@Benchmark
int test1() { return str1.hashCode(); } // 22.6 \pm 0.1 ns/op
@Benchmark
int test2() { return str2.hashCode(); } // 0.7 \pm 0.1 ns/op
```

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# Zeroes: Actual Implementation

```
public int hashCode() {
  int h = hash;
  if (h == 0) {
    for (char v : value) {
      h = 31 * h + v;
    }
    hash = h;
  }
  return h;
}
```

- Actual code caches hashcodes
- Immense improvements in most scenarios, justifying 4 bytes per instance
- By pigeonhole principle, some Strings are bound to have hs(s) = 0, sucks to be them
- It is a sane engineering tradeoff to have a performance anomaly with 2<sup>-32</sup> probability



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# Collisions: Walking on a Sunshine

```
// carefully populated with unicorn dust:
HashMap<String, String> sunshine;
```

```
@Benchmark void keySet(Blackhole bh) {
  for (String key : sunshine.keySet()) {
     bh.consume(sunshine.get(key));
  }
}
@Benchmark void entrySet(Blackhole bh) {
  for (Man Entry (String) - superhise out)
```

```
for (Map.Entry<String, String> e : sunshine.entrySet()) {
    bh.consume(e);
}
```

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# Collisions: Using JDK 7u0...

Benchmark	Size	Time, na	s/op	ns/key
entrySet	1	14.1	$\pm$ 0.1	14.1
entrySet	10	47.4	$\pm$ 0.2	4.7
entrySet	100	294.1	$\pm$ 0.9	2.9
entrySet	1000	5366.9	$\pm$ 802.8	5.4
entrySet	10000	67394.4	$\pm$ 456.5	6.7
keySet	1	18.4	$\pm$ 0.5	18.4
keySet	10	279.8	$\pm$ 6.7	27.8
keySet	100	22266.6	$\pm$ 179.6	222.7
keySet	1000	2716486.4	$\pm$ 10145.7	2716.5
keySet	10000	355309390.2	$\pm$ 1214802.8	355309.4

keySet performance rapidly deteriorates:  $O(N^2)$ 

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### **Collisions: Algorithmic Attacks**

Polynomial hash functions make artificial collisions a piece of cake. Suppose this expansion:

$$h(s) = \sum_{k=0}^{n-1} 31^{n-k-1} s_k = \left[\sum_{k=0}^{n-3} 31^{n-k-1} s_k\right] + 31s_{n-2} + s_{n-1}$$

Then, if strings a and b have common prefix in [0..n-3]:

$$h(a) = h(b) \Leftrightarrow 31(a_{n-2} - b_{n-2}) = (a_{n-1} - b_{n-1})$$

...and that is super-easy, suppose a = "...Aa" and b = "...BB".

# Collisions: Why should I care?

- Alice is running her battle-hardened HTTP server, patched up for Heartbleed, Shellshock, all these fancy-named vulnerabilities. Alice is serious about security.
- Mallory giggles and sends the HTTP Request with these HTTP Headers:

"X-Conference-AaAaAaAa: JokerConf 2014, Why So Serious?" "X-Conference-AaAaAaBB: JokerConf 2014, Why So Serious?" "X-Conference-AaAaBBAa: JokerConf 2014, Why So Serious?" "X-Conference-AaAaBBB: JokerConf 2014, Why So Serious?"

 Alices's web server accepts the request, stores HTTP Headers in Map<String, String>, and then tries to process them. Boom, resource exhaustion and possible DoS.



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# Collisions: Using JDK 8

Benchmark	Size	Time, n	.s/op	ns/key
entrySet	1	11.6	$\pm$ 0.1	11.7
entrySet	10	36.3	$\pm$ 0.1	3.6
entrySet	100	278.1	$\pm$ 0.7	2.8
entrySet	1000	3606.7	$\pm$ 21.4	3.6
entrySet	10000	86459.5	$\pm$ 626.4	8.6
keySet	1	15.1	$\pm$ 0.1	15.0
keySet	10	253.2	$\pm$ 0.6	2.5
keySet	100	10072.5	$\pm$ 144.4	100.7
keySet	1000	158591.7	$\pm$ 1202.4	158.6
keySet	10000	2355039.3	$\pm$ 12087.3	235.3

keySet is now O(NlogN) - not as bad



## **Collisions: Another quirks**

http://www.zlib.net/crc\_v3.txt

In particular, any CRC algorithm that initializes its register to zero will have a blind spot of zero when it starts up and will be unable to "count"a leading run of zero bytes. As a leading run of zero bytes is quite common in real messages, it is wise to initialize the algorithm register to a non-zero value.

> The same applies to String.hashCode. Thank God, NUL-prefixed Strings are not common.



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#### Hash Codes: Catechism

Q: Should I care about String.hashCode?A: Most likely not, unless you expose your naked Maps for user input.

Q: Should I wrap the Strings with my own hashCode implementation? A: In some very rare cases, yes.

Q: Why we wouldn't change the String.hashCode computation?A: The P(31) hashcode is spec-ed in so many places, it can't be changed now.

Q: That hashCode caching thing at zero bothers me, can be do a boolean flag? A: That will explode String footprint by 8 bytes in worst case.

# Substring



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# Substring: JDK 8

java.lang.String object internals: OFFSET SIZE TYPE DESCRIPTION 0 12 (object header) 12 4 char[] String.value 16 4 int String.hash 20 4 (alignment loss) Instance size: 24 bytes

Seasoned Java devs can wonder...

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# Substring: JDK 8

java.lang.String object internals: OFFSET SIZE TYPE DESCRIPTION 0 12 (object header) 12 4 char[] String.value 16 4 int String.hash 20 4 (alignment loss) Instance size: 24 bytes

Seasoned Java devs can wonder... where are offset and count fields?



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## Substring: JDK < 7u6

java.lang.String object internals: OFFSET SIZE TYPE DESCRIPTION 0 12 (object header) 12 4 char[] String.value 16 4 int String.offset 20 4 int String.count 24 4 int String.hash 28 4 (alignment loss) Instance size: 32 bytes

#### Here they are! Left behind the enemy lines in JDK < 7u6.

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# Substring: Benchmark

```
@Param({"0", "30", "60", "90", "120"})
int limit;
String str:
@Setup
public void setup() {
 str = "JokerConf<sub>1</sub>2014:...Why...So...Serious?..." +
        "JokerConf, 2014: Why, So, Serious?, +
        "JokerConf.,2014: ...Why, So, Serious?.." +
        "JokerConf, 2014: Why So, Serious?":
}
@Benchmark
String head() { return str.substring(limit); }
@Benchmark
String tail() { return str.substring(0, limit); }
```

🔮 Java<sup>r</sup>

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# Substring: JDK < 7u6: Sharing

Limit	Time, ns/op				
	he	ad	ta	ail	
0	2.2	$\pm$ 0.1	3.7	± 1.1	
30	3.5	$\pm$ 0.2	3.6	$\pm$ 0.9	
60	3.5	$\pm$ 0.2	3.4	$\pm$ 0.2	
90	3.7	$\pm$ 0.4	3.4	$\pm$ 0.1	
120	3.7	$\pm$ 1.0	3.4	$\pm$ 0.1	

- substring() only instantiates Strings, shares char[] arrays
- This is believed to cause memory leaks: think large XML and substring on it

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# Substring: JDK 8: Copying

Limit	Time, ns/op				
	he	ad	ta	il	
0		$\pm$ 0.1	19.4	± 0.3	
30	22.9		10.1	$\pm$ 0.0	
60	16.8	$\pm$ 0.1	15.2	$\pm$ 0.1	
90	12.7	$\pm$ 0.1	21.7	$\pm$ 0.5	
120	11.1	$\pm$ 0.3	26.6	$\pm$ 0.1	

- substring() now copies the entire char[] array
- Works reasonably well for small substrings, avoids memory leaks

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# Substring: Catechism

Q: New substring sounds bad, can I get it back?A: No, you can't.

**Q**: But why?

A: Real memory leaks are worse than potential performance issues.

**Q**: What if I need O(1) substring? **A**: That means you care about this enough to make your own storage.

Q: But my application was using substring for performance reasons!A: (Points to a String.substring Javadoc, and Engineer leaves enlightened)



#### Intern



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## Intern: Interning vs. Deduplication

#### Deduplication:

Reduce # of instances in each equivalence class

#### Interning (canonicalization):

Reduce # of instances in each equivalence class to one (canonical) instance.

- As usual, enforcing *stronger* property costs more
- In many cases, you want deduplication, not interning



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#### Intern: User Interners

Interning is dead-simple, and can be done by hand:

```
public class CHMInterner <T> {
  private final Map<T, T> map;
  public CHMInterner() {
    map = new ConcurrentHashMap <>();
  }
  public T intern(T t) {
   T exist = map.putIfAbsent(t, t);
    return (exist == null) ? t : exist;
  }
}
```



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# Intern: User Interners (cont.)

strings	Time, us/op					
	ch	m	hn	1	inte	rn
100	2.4	$\pm$ 0.1	0.9	$\pm$ 0.1	8.0	± 0.3
10000	242.9	$\pm$ 0.944	133.8	$\pm$ 0.8	891.8	$\pm$ 13.6
1000000	47537.0	$\pm$ 2123.8	35349.2	$\pm$ 1188.8	315664.8	$\pm$ 17821.4

(Throw-away) (Concurrent)HashMap is order of magnitude better!

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Intern: And the reason is:

String.intern() is a gateway to VM internal StringTable. StringTable is fixed-size, and almost always overloaded:

```
-XX:+PrintStringTableStatistics

StringTable statistics:

Number of buckets : 60013 = 480104 bytes, avg 8.000

Number of entries : 1002451 = 24058824 bytes, avg 24.000

Number of literals : 1002451 = 64168512 bytes, avg 64.012

Total footprint : = 88707440 bytes

Average bucket size : 16.704

Variance of bucket size : 9.731

Std. dev. of bucket size : 27
```

#### User-issued String.intern() calls only make it worse!

## Intern: User Deduplicators

Relaxing the canonicalization requirement may bring the performance:

```
public class CHMDeduplicator <T> {
  private final int prob;
  private final Map<T, T> map;
  public CHMDeduplicator(double prob) {
   this.prob = (int) (Integer.MIN_VALUE + prob * (1L << 32));
   this.map = new ConcurrentHashMap<>();
  }
  public T dedup(T t) {
    if (ThreadLocalRandom.current().nextInt() > prob) {
      return t:
   T exist = map.putIfAbsent(t, t);
    return (exist == null) ? t : exist;
}
```



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#### Intern: Probabilistic Deduplicators

			time,	us/op	þ	
Prob	ch	Im	h	m	inte	ern
0.0	3.2	$\pm$ 0.1	3.3	$\pm$ 0.1	3.3	± 0.1
0.1	6.9	$\pm$ 0.1	7.3	$\pm$ 0.7	13.1	$\pm$ 0.1
0.2	10.4	$\pm$ 0.4	9.7	$\pm$ 0.7	22.4	$\pm$ 0.1
0.3	13.4	$\pm$ 0.2	12.1	$\pm$ 0.2	31.9	$\pm$ 0.3
0.4	16.4	$\pm$ 0.1	14.2	$\pm$ 0.1	40.3	$\pm$ 0.3
0.5	19.1	$\pm$ 0.1	15.9	$\pm$ 0.1	49.3	$\pm$ 0.8
0.6	21.7	$\pm$ 1.1	16.7	$\pm$ 0.2	56.6	$\pm$ 0.6
0.7	22.4	$\pm$ 0.2	16.0	$\pm$ 0.1	63.3	$\pm$ 1.1
0.8	23.7	$\pm$ 0.5	15.4	$\pm$ 0.1	70.7	$\pm$ 2.5
0.9	25.7	$\pm$ 0.9	14.0	$\pm$ 0.1	76.4	$\pm$ 0.7
1.0	26.1	$\pm$ 0.1	11.5	$\pm$ 0.1	118.5	$\pm$ 30.1



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#### Why can't JVM do this for us?



-XX:+UseG1GC -XX:+UseStringDeduplication



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```
public static void main(String... args) {
 List < String > strs = ...;
 String last = GraphLayout.parseInstance(strs).toFootprint();
 System.out.println("***"Original:" + last);
 for (int gc = 0; gc < 100; gc + +) {
    String cur = GraphLayout.parseInstance(strs).toFootprint();
    if (!cur.equals(last)) {
      System.out.println("***uGCuchanged:u" + cur);
     last = cur:
    }
   System.gc();
```

Use JOL<sup>4</sup> to estimate the memory footprint.

<sup>4</sup>http://openjdk.java.net/projects/code-tools/jol/ Slide 56/85. Copyright © 2014, Oracle and/or its affiliates. All rights reserved.



*** Origina	1:		
java.util.A	rrayList in	stance foo	tprint:
COUNT	A V G	SUM	DESCRIPTION
10000	47	472000	[ C
1	56232	56232	[Ljava.lang.Object;
10000	24	240000	java.lang.String
1	24	24	java.util.ArrayList
20002		768256	(total)
*** GC chan	ged:		
java.util.A	rrayList in	stance foo	tprint:
COUNT	AVG	SUM	DESCRIPTION
100	47	4720	[C
1	56232	56232	[Ljava.lang.Object;
10000	24	240000	java.lang.String
10000	24	240000	Java.rang.boring
10000	24	240000	java.util.ArrayList

Notice the char [] arrays are de-duplicated.



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```
*** GC changed:
java.util.ArrayList instance footprint:
     COUNT
                  AVG
                             SIIM
                                   DESCRIPTION
                   47
                            4720
                                   ΓC
       100
                56232
                          56232 [Ljava.lang.Object;
         1
      10000
                          240000
                                   java.lang.String
                   24
                   24
                              24
                                   java.util.ArrayList
         1
     10102
                         300976
                                   (total)
    Dedup:
* * *
java.util.ArravList instance footprint:
     COUNT
                  AVG
                            SUM
                                   DESCRIPTION
       100
                   47
                            4720
                                 ΓC
                56232
                          56232 [Ljava.lang.Object;
         1
       100
                  24
                            2400
                                   java.lang.String
                   24
                              24
                                   java.util.ArrayList
         1
       202
                          63376
                                   (total)
```

Hand-rolled deduplicator can also reduce the number of String-s.



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#### Intern: Catechism

Q: But I read so much on using String.intern for improving footprint. A: http://en.wikipedia.org/wiki/Hanlon's\_razor

Q: I will use String.intern just on this tiny little location.A: Excellent, you already know where your bottlenecks are going to be.

Q: Why wouldn't we optimize String.intern?A: We are improving it. It does not help the *misuse* of String.intern.

Q: Should I rely on GC deduplication for ultimate memory savings?A: Identity rules disallow us to merge objects, you have to merge them yourself.

## Equals



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# Equals: Testing basic things

```
String bar10_0 = "BarBarBarA", bar10_1 = "BarBarBarA";
String bar10_2 = "BarBarBarB", bar10_3 = "ABarBarBar";
String bar11 = "BarBarBarAB";
@Benchmark
                           { return bar10_0.equals(bar10_1); }
boolean sameChar()
@Benchmark
boolean sameLen_diffEnd() { return bar10_0.equals(bar10_2); }
@Benchmark
boolean sameLen_diffStart() { return bar10_0.equals(bar10_3); }
@Benchmark
boolean differentLen()
                           { return bar10_0.equals(bar11); }
```

🔮 Java<sup>r</sup>

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## **Equals:** Basic characteristics

Benchmark	Score, ns/op
sameChar	1.0 ± 0.1
differentLen	$1.3 \pm 0.1$
<pre>sameLen_diffEnd</pre>	$4.6 \pm 0.1$
<pre>sameLen_diffStart</pre>	$2.6 \pm 0.1$

- Strings instantiated off the same constant are interned, == check is fast
- Strings of different lengths are not compared at all
- Strings are matched from start to end

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# **Equals: Implementation**

```
public boolean equals(Object anObject) {
  if (this == anObject) {
    return true:
  }
  if (anObject instanceof String) {
    String anotherString = (String)anObject;
    int n = value.length;
    if (n == anotherString.value.length) {
      char v1[] = value:
      char v2[] = anotherString.value;
      int i = 0:
      while (n - - ! = 0) {
        if (v1[i] != v2[i])
          return false:
         i++:
      }
      return true;
 return false:
```

«I think this version is welloptimized, and you can gain nothing here...» (somebody on StackOverflow)



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# **Equals: Intrinsics**

Benchmark		Score,		
	def	ault	disa	bled <sup>5</sup>
sameChar				
differentLen				
<pre>sameLen_diffEnd</pre>	4.6	$\pm$ 0.1	9.7	$\pm$ 0.1
<pre>sameLen_diffStart</pre>	2.6	$\pm$ 0.1	3.0	$\pm$ 0.1

- The actual equals() implementation is intrinsified
- Blindly rewriting the Java implementation will not be faster
- How can intrinsified implementation be 2x faster than «optimal» Java code?

<sup>5</sup>-XX:+UnlockDiagnosticVMOptions -XX:DisableIntrinsic=::\_equals Slide 64/85. Copyright © 2014, Oracle and/or its affiliates. All rights reserved.



# Equals: Intrinsics (cont.)

#### Intrinsic version is vectorized:

5.23%	3.42%	0x00007f1b8c93de95:	mov	(%rdi ,%rcx ,1) ,%ebx
14.73%	4.01%	0x00007f1b8c93de98:	cmp	(%rsi,%rcx,1),%ebx
		0x00007f1b8c93de9b:	jne	$0 \ge 00007 f 1 b 8 c 9 3 d e b b$
26.39%	27.41%	0x00007f1b8c93de9d:	add	\$0x4 <b>,%</b> rcx
		$0 \pm 00007 f 1 b 8 c 9 3 d e a 1$ :	jne	$0 \ge 00007 f 1 b 8 c 9 3 d e 9 5$

- Notice comparing in 4-byte strides
- This works regardless of whether compiler can or can't auto-vectorize
- VM will select SSE, AVX, etc to efficiently compare.



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### **Equals: Catechism**

Q: I have this very nifty idea of optimizing String.equals...A: If you are not prepared to deal with low-level assembly, do not even start.

Q: Why would you need a Java version for String.equals then?A: Interpreter, C1, and other compilers still use this as the fallback code.

Q: Should I intern the Strings and then == on them instead?
A: It would be easier to just check the hashCode before.

Q: But interning is so much easier!

A: (silence is the answer, and Programmer leaves enlightened)

#### Regexps



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## **Regexps: splitting**

```
String text = "Глокая_куздра_штеко_будланула_бокра_и_курдячит_бокрёнка
String textDup = text.replaceAll("", "");
Pattern pattern = Pattern.compile("....");
@Benchmark
                            { return text.split(""); }
String[] charSplit()
@Benchmark
                            { return textDup.split(""); }
String[] strSplit()
@Benchmark
String[] strSplit_pattern() { return pattern.split(textDup); }
```

```
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```

# **Regexps: Splitting**

Benchmark	Time,	ns/op
charSplit		± 1.8
strSplit	527.9	$\pm$ 5.6
strSplit_pattern	416.2	$\pm$ 4.1

- charSplit has a fast-path for a single-char patterns
- strSplit uses Pattern to match: do not be suprised it works much slower
- strSplit\_pattern reuses the Pattern: saves a few cycles

## **Regexps: Other methods**

Lots of other String methods are using Pattern implicitly:

- matches(String regex)
- replaceFirst(String regex, String replacement)
- replaceAll(String regex, String replacement)
- replace(CharSequence target, CharSequence replacement)
- split(String regex)
- split(String regex, int limit)

#### You may want to cache Pattern in performance-critical places.

#### **Regexps:** Backtracking

Text	Т	'ime, n	s/op
size	"xx	.xxy"	"xxxx"
4	94.5	$\pm$ 1.3	
6	96.8	$\pm$ 1.0	
8	102.7	$\pm$ 1.6	
10	106.5	$\pm$ 5.1	
12	106.7	$\pm$ 1.5	
14	111.9	$\pm$ 1.5	
16	115.6	$\pm$ 2.1	

Searching with Pattern.compile("(x+x+)+y"):



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## **Regexps:** Backtracking

Text	Time, ns/op			
size	"xxxxy"		"xx	xx"
4	94.5	$\pm$ 1.3	291.8	$\pm$ 9.2
6	96.8	$\pm$ 1.0	1049.5	$\pm$ 7.2
8	102.7	$\pm$ 1.6	4028.0	$\pm$ 49.9
10	106.5	$\pm$ 5.1	15900.0	$\pm$ 263.3
12	106.7	$\pm$ 1.5	61694.5	$\pm$ 704.4
14	111.9	$\pm$ 1.5	245397.2	$\pm$ 1528.4
16	115.6	$\pm$ 2.1	989130.3	$\pm$ 11201.7

Searching with Pattern.compile("(x+x+)+y"):

Given the mismatching text, the regexp catastrophically backtracks.

#### **Regexps:** Catechism

Q: Should I care? I would never use regular expressions.A: Yes, you will. Learn how to deal with them before it's too late.

Q: Okay, what are the major improvements I can do?A: Simplify and cache Pattern-s.

**Q:** Catastrophic backtracking sounds very theoretical, do I have to care? **A:** Yes. Unsanitized texts and/or unsanitized regexps are the way to DoS.

Q: Stand back! | know Regular Expressions!A: (stands back, and Engineer smacks into wall achieving enlightenment.)



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# Walking



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## Walking: charAt vs toCharArray

```
@Benchmark
public int charAt() {
  int r = 0;
 for (int c = 0; c < text.length(); c++) {
    r += text.charAt(c);
  }
  return r:
}
@Benchmark
public int toCharArray() {
 int r = 0;
  char[] chars = text.toCharArray();
 for (int c = 0; c < text.length(); c++) {
    r += chars[c]:
  }
  return r:
}
```



# Walking: charAt vs toCharArray

Benchmark	Size	Time, n	s/op
charAt	1	2.1	± 0.1
charAt	10	4.8	$\pm$ 0.1
charAt	100	51.6	$\pm$ 0.1
charAt	1000	734.6	$\pm$ 0.3
toCharArray	1	6.5	$\pm$ 0.1
toCharArray	10	9.6	$\pm$ 0.1
toCharArray	100	61.2	$\pm$ 1.2
toCharArray	1000	1242.2	$\pm$ 4.6

- charAt bound-checks, but those are nicely optimized out
- toCharArray pays for spare memory allocation

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# Walking: charAt vs toCharArray (spoiled)

```
@Benchmark
public int charAt_spoil() {
  int r = 0:
 for (int c = 0; c < text.length(); c++) {
    spoiler(); // empty non-inlineable
    r += text.charAt(c);
  }
  return r:
}
@Benchmark
public int toCharArray_spoil() {
  int r = 0:
  char[] chars = text.toCharArray();
 for (char c : chars) {
    spoiler(); // empty non-inlineable
    r += c;
  }
  return r:
}
```



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# Walking: charAt vs toCharArray (spoiled)

Benchmark	size	Score,	ns/op
charAt_spoil	1	4.7	$\pm$ 1.1
charAt_spoil	10	32.3	$\pm$ 0.1
charAt_spoil	100	607.9	$\pm$ 0.2
charAt_spoil	1000	10247.5	$\pm$ 1552.4
toCharArray_spoil	1	8.9	$\pm$ 0.1
toCharArray_spoil	10	28.5	$\pm$ 0.1
toCharArray_spoil	100	435.4	$\pm$ 3.3
toCharArray_spoil	1000	6559.9	$\pm$ 22.7

- When VM is unable to track text, devirt and bounds-check elimination fail
- Local array is perfectly fine

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# Walking: Catechism

Q: Should | copy out the char[] array or not?A: If you don't need performance, both approaches are the question of style.

Q: I care about performance, should I copy out the char[] array? A: You should, in non-trivial case.

Q: What is considered non-trivial case?A: Non-local control flow, volatile reads, etc. that break commonning.

Q: This sucks. There is no universal best-performance way?A: (silence was the answer, and Engineer left enligthened)



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## Search



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## Search: Character searches

Searching in "abcdefghiklmnopqrstuvxyz":

image	Time, ns/op			
	indexOf		lastIndexOf	
a	1.3	$\pm$ 0.1	8.5	$\pm$ 0.1
m	4.8	$\pm$ 0.1 $\pm$ 0.1 $\pm$ 0.1	5.7	$\pm$ 0.1
Z	7.3	$\pm$ 0.1	1.6	$\pm$ 0.1

- Both indexOf and lastIndexOf are O(n), obviously
- Either is more performant if searched from the start or the end

# Search: Intrinsics

Benchmark	Image	Score, ns/op			
		+0	pt	-0r	$bt^6$
indexOf	abc	5.0	$\pm$ 0.1	4.9	± 0.1
indexOf	mno	7.0	$\pm$ 0.1	9.8	$\pm$ 0.1
indexOf	xyz	11.5	$\pm$ 0.1	12.8	$\pm$ 0.1
lastIndexOf	abc	13.9	$\pm$ 0.1	13.9	$\pm$ 0.1
lastIndexOf	mno	10.5	$\pm$ 0.1	10.5	$\pm$ 0.1
lastIndexOf	xyz	5.3	$\pm$ 0.1	5.3	$\pm$ 0.1

- Real implementation of indexOf is intrinsified
- Uses SSE/AVX extensions to search for a match

<sup>6</sup>-XX:+UnlockDiagnosticVMOptions -XX:DisableIntrinsic=::\_indexOf Slide 81/85. Copyright © 2014. Oracle and/or its affiliates. All rights reserved.



#### Search: Genome Search

#### Searching for a sequence of codons in Human Y chromosome:

Benchmark	Time,	ms/op
indexOf	48.2	$\pm$ 0.4

#### str.indexOf(im) is a naive search

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## Search: Genome Search

Searching for a sequence of codons in Human Y chromosome:

Benchmark	Time,	ms/op
indexOf	48.2	$\pm$ 0.4
wikipediaBM	16.7	$\pm$ 0.4

- str.indexOf(im) is a naive search
- wikipediaBM is the copy-paste from Boyer-Moore wiki page<sup>7</sup>

<sup>7</sup>http://en.wikipedia.org/wiki/Boyer-Moore\_string\_search\_algorithm Slide 82/85. Copyright © 2014, Oracle and/or its affiliates. All rights reserved.



# Search: Genome Search

Searching for a sequence of codons in Human Y chromosome:

Benchmark	Time,	ms/op
indexOf	48.2	$\pm$ 0.4
wikipediaBM	16.7	$\pm$ 0.4
matcherFind	21.2	$\pm$ 0.4

- str.indexOf(im) is a naive search
- wikipediaBM is the copy-paste from Boyer-Moore wiki page<sup>7</sup>
- pattern(im).matcher(str).find() also uses BM

<sup>7</sup>http://en.wikipedia.org/wiki/Boyer-Moore\_string\_search\_algorithm Slide 82/85. Copyright © 2014, Oracle and/or its affiliates. All rights reserved.



#### Search: Catechism

**Q**: Why there is no optimal string search algo in JDK? **A**: «Optimal» is in the eye of beholder.

Q: Why would you maintain a trivial String.indexOf anyway? A: Small images are working better with trivial search.

Q: Java sucks for <insert domain here> because of indexOf. A: (points to 3rd party libraries, and Engineer leaves enlightened)

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#### Conclusion



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## Conclusion: ...



- Strings are well-optimized:
  - Learning what optimizations are there, and how you can employ them is a useful skill
  - Learning what JDK/VM does is a useful skill
- Performance advice has a generally low «shelf life»:
  - Re-learn stuff as you go
  - Do not trust folklore



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